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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/809,308
	Filing Date	03/16/2001
	First Named Inventor	Adolph ZAROVINSKY
	Group Art Unit	2167
	Examiner Name	n/a
	Attorney Docket Number	11766-1
Total Number of Pages in This Submission		

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="text"/>	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Card; and Declaration.
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	H. Samuel Frost, Registration No. 31,696
Signature	
Date	May 7, 2001

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2001</h3> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>	Complete if Known	
	Application Number	09/809,308
	Filing Date	03/16/2001
	First Named Inventor	Adolph ZAROVINSKY
	Examiner Name	n/a
	Group Art Unit	2167
Attorney Docket No.	11766-1	
TOTAL AMOUNT OF PAYMENT	(\$)	65.00

<p style="text-align: center; font-weight: bold; font-size: small;">METHOD OF PAYMENT</p> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <input type="text" value="022095"/> Deposit Account Name: <input type="text" value="Bereskin & Parr"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: # <u>3824</u> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center; font-weight: bold; font-size: small;">FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table style="width:100%; font-size: x-small;"> <thead> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td><input type="text"/></td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td><input type="text"/></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td><input type="text"/></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td><input type="text"/></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td><input type="text"/></td></tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: right;">(\$) 0.00</td> </tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <p>Extra Claims Fee from below Fee Paid</p> <p>Total Claims -20** = X = 0.00</p> <p>Independent Claims -3** = X = 0</p> <p>Multiple Dependent = =</p> <table style="width:100%; font-size: x-small;"> <thead> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td><input type="text"/></td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td><td><input type="text"/></td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td><td><input type="text"/></td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>** Reissue independent claims over original patent</td><td><input type="text"/></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td><input type="text"/></td></tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td style="text-align: right;">(\$) 0.00</td> </tr> </tbody> </table> <p style="font-size: x-small;">*for number previously paid, if greater; For Reissues, see above</p>	Large Entity Code	Small Entity Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	<input type="text"/>	106	320	206	160	Design filing fee	<input type="text"/>	107	490	207	245	Plant filing fee	<input type="text"/>	108	710	208	355	Reissue filing fee	<input type="text"/>	114	150	214	75	Provisional filing fee	<input type="text"/>	SUBTOTAL (1)					(\$) 0.00	Large Entity Code	Small Entity Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20	<input type="text"/>	102	80	202	40	Independent claims in excess of 3	<input type="text"/>	104	270	204	135	Multiple dependent claim, if not paid	<input type="text"/>	109	80	209	40	** Reissue independent claims over original patent	<input type="text"/>	110	18	210	9	** Reissue claims in excess of 20 and over original patent	<input type="text"/>	SUBTOTAL (2)					(\$) 0.00	<p style="text-align: center; font-weight: bold; font-size: small;">FEE CALCULATION (continued)</p> <p>3. 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unavoidable</td><td><input type="text"/></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td><input type="text"/></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td><input type="text"/></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td><input type="text"/></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td><input type="text"/></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td><input type="text"/></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td>Petitions related to provisional applications</td><td><input type="text"/></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td><input type="text"/></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td><input type="text"/></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td><input type="text"/></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td><input type="text"/></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td><input type="text"/></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td><input type="text"/></td></tr> <tr> <td colspan="5">Other fee (specify) _____</td> <td><input type="text"/></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (3)</td> <td style="text-align: right;">(\$) 65.00</td> </tr> </tbody> </table> <p style="font-size: x-small;">*Reduced by Basic Filing Fee Paid</p>	Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	65.00	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="text"/>	139	130	139	130	Non-English specification	<input type="text"/>	147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	<input type="text"/>	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>	115	110	215	55	Extension for reply within first month	<input type="text"/>	116	390	216	195	Extension for reply within second month	<input type="text"/>	117	890	217	445	Extension for reply within third month	<input type="text"/>	118	1,390	218	695	Extension for reply within fourth month	<input type="text"/>	128	1,890	228	945	Extension for reply within fifth month	<input type="text"/>	119	310	219	155	Notice of Appeal	<input type="text"/>	120	310	220	155	Filing a brief in support of an appeal	<input type="text"/>	121	270	221	135	Request for oral hearing	<input type="text"/>	138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="text"/>	140	110	240	55	Petition to revive - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	H. Samuel Frost	Registration No. (Attorney/Agent)	31,696
Signature		Telephone	(416) 364-7311
		Date	May 7, 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/809,308	03/16/2001	Adolph Zarovinsky	11766-1

CONFIRMATION NO. 5397

FORMALITIES LETTER



OC00000005999524

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Date Mailed: 04/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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